

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

218799US0PCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/030143

INTERNATIONAL APPLICATION NO.
PCT/JP02/04406INTERNATIONAL FILING DATE
25 MAY 2001PRIORITY DATE CLAIMED
26 MAY 2000

TITLE OF INVENTION

NON-AQUEOUS ELECTROLYTE AND SECONDARY BATTERY USING THE SAME

APPLICANT(S) FOR DO/EO/US

Kenji OKAHARA, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice of Priority / PCT/IB/304 / PCT/IB/308
PTO-1449 / Drawing (1 sheet)

U.S. APPLICATION NO. (IF KNOWN) SEE PCT/JP02/04406	INTERNATIONAL APPLICATION NO. PCT/JP02/04406	ATTORNEY'S DOCKET NUMBER 218799US0PCT
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1040.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$890.00


Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	- 20 =	0	x \$18.00	\$0.00
Independent claims	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$1,020.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00
SUBTOTAL =				\$1,020.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00
TOTAL NATIONAL FEE =				\$1,020.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00
TOTAL FEES ENCLOSED =				\$1,020.00
				Amount to be: refunded \$
				charged \$

- a. ☒ A check in the amount of \$1,020.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



22850

Surinder Sachar
Registration No. 34,423

(703) 413-3000

Surinder Sachar
SIGNATURE

Norman F. Oblon
NAME

24,618
REGISTRATION NUMBER

Jan 28 2002
DATE

98 Rec'd PCT/PTO 28 JAN 2002

FICE	ATTORNEY'S DOCKET NUMBER 218799US0PCT
	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/030143
	PRIORITY DATE CLAIMED 26 MAY 2000
USING THE SAME	
O/US) the following items and other information: S.C. 371.	

what year of PCT
number JP01 OR JP02?

We used PCT/JP01/04406

1. ☒ This is a FIRST submission of this application.
2. ☐ This is a SECOND or SUBSEQUENT submission of this application.

261621
261621
0868

OBLON, SPIVAK, McCLELLAND,
MAIER, & NEUSTADT, P.C.
CRYSTAL SQUARE FIVE-SUITE 400
1755 JEFFERSON DAVIS HWY
ARLINGTON, VA 22202

FIRST UNION NATIONAL BANK
VIENNA, VA
68-760/560

ONE THOUSAND TWENTY AND 00/100 DOLLARS*****

DATE
1/28/02

AMOUNT ☒
\$1,020.00

PAY
TO THE
ORDER
OF:

COMMISSIONER OF PATENTS & TRADEMARKS

VOID IF NOT CASHED WITHIN 90 DAYS

218799US

NEW US PCT APPLICATION

Arundis Sachon
AUTHORIZED SIGNATURE

DOCKET

⑈ 261621⑈ ⑆056007604⑆ 2065173481544⑈

Article 36 (35 U.S.C. 371 (c)(5)).
This document is annexed to the International Preliminary Examination Report under PCT.

11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).

The U.S. Patent Office stamp affixed hereinbelow indicates the filing date and Serial Number for the following application:

3.28 and 3.31 is included.

OSMM&N DOCKET: 218799US0PCT

Inventor: Kenji OKAHARA, et al.

35 U.S.C. 1.821 - 1.825.

Title: NON-AQUEOUS ELECTROLYTE AND SECONDARY
BATTERY USING THE SAME

U.S.C. 154(d)(4).

NFO/cak

10/030143

This is NOT a filing receipt! Please retain for ADVANCE NOTICE SERIAL NUMBER.

98 Rec'd PCT/PTO 28 JAN 2002

PCTUS1/REV03